

# Ventilatory efficiency response is unaffected by fitness level, ergometer type, age or body mass index in male athletes

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**ABSTRACT:** The aim of this study was to evaluate the ventilatory efficiency ( $V_E/VCO_2$  slope) and the respiratory control ( $V_t/Ti$  slope) in a wide range of athletes and describe the influence of fitness level, age, ergometer type or BMI on these parameters. Ninety-one males ( $30.4 \pm 10.53$  years;  $175.52 \pm 7.45$  cm;  $71.99 \pm 9.35$  kg) were analysed retrospectively for the study. Ventilatory efficiency reacted similarly in athletes independently of the fitness level, age, BMI or the ergometer used for testing. No significant differences were found in  $V_E/VCO_2$  slope and the  $V_t/Ti$  slope between variables analyzed ( $P > 0.05$ ). The slope of the predictive equations was similar in all cases studied in  $V_E/VCO_2$  slope and the  $V_t/Ti$  slope. Moreover, the central control impulse of respiration was not affected by the variables studied. These observations suggest that ventilatory efficiency ( $V_E/VCO_2$  slope) could be a variable fixed by the respiratory system which tends to respond similarly in athletes.

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## INTRODUCTION

Ventilatory efficiency can be defined as the relationship between carbon dioxide production ( $VCO_2$ ) and ventilation ( $V_E$ ) during an incremental exercise test [1]. Several ways for measuring ventilator efficiency have been reported [2, 3]. However, using the slope of the relationship between  $VCO_2$  and  $V_E$  ( $V_E/VCO_2$  slope) has been suggested as the best way for achieving a correct evaluation of the ventilatory efficiency during an incremental exercise test [4]. It adds information about the global ventilatory efficiency throughout entire test and not only at one metabolic rate as it happens with the equivalent of  $CO_2$  ( $V_E/VCO_2$ ) [5].

Ventilatory efficiency has been widely studied in patients suffering congestive heart failure (CHF) or cardio-respiratory weakness [6-9]. Values exceeding 34 are considered abnormal [1, 10] or indicative of the inefficiency of the respiratory system [2]. In healthy subjects, there has been reported variability in the values of the  $V_E/VCO_2$  slope (from 19 to 32) [3].

The role and importance of ventilatory efficiency in human sport performance remains controversial. The matching of ventilation and perfusion in the lungs is the primary determinant of ventilatory efficiency [4]. Conditions in which the  $CO_2$  production is elevated, such as exercise, seem to play an essential role in the ventilatory control [11]. In this regard, it could be possible that greater efficiency of  $CO_2$  elimination during exercise might allow a higher sport performance. However, in elite juvenile cyclists, no relationship has

been found between maximal oxygen uptake ( $VO_{2max}$ ) and  $V_E/VCO_2$  slope [2]. Similarly, it was reported that changes in sport performance in world class-cyclists over three competitive seasons were not related to changes in  $V_E/VCO_2$  slope [5]. In synchronized swimmers, ventilatory efficiency remained unchanged by working conditions during apnoeic episodes [12]. Data from our research group revealed that submaximal cycling performance was not related to the ventilatory efficiency response [13]. We hypothesized that increments in  $CO_2$  production are linked to proportional increments in ventilation regardless of the fitness level.

Physiologic dead space ( $V_D/V_T$ ) has been suggested as a variable that could modify the ventilatory efficiency response [3]. Age and anthropometric characteristics might influence  $V_D/V_T$  [14]. However, in children, ventilatory efficiency was not affected by sex despite differences in anthropometric characteristics [15]. Similar results were found in adults: no age or sex differences were found for ventilatory efficiency in healthy participants [3]. However, according to our knowledge there have been no studies evaluating ventilatory efficiency in athletes with different characteristics. Thus, measuring the influence of age and BMI on ventilatory efficiency is necessary in order to better clarify whether there are differences between athletes with different characteristics.

Regarding type of ergometer, a test dependency has been reported in healthy women, but not in males [16]. The authors explained

these results in terms of the low level of arterial hypoxemia coupled with a low level of arterial hypercapnia in women [16]. However, to our knowledge this is the only study mainly focused on this analysis. Thus, further evaluation in athletes is necessary in order to evaluate the influence of type of ergometer on ventilatory efficiency response.

Although ventilatory efficiency has already been studied in healthy people, this variable has not been widely studied in athletes. Contrary to ventilatory efficiency, breathing pattern has been widely studied in athletes [17-19].  $V_E$  can be decomposed into the product of two components: (a) central inspiratory activity, known as “driving” and expressed as the relationship between  $V_t$  and inspiratory time ( $V_t/T_i$ ); and (b) the inspiration-expiration alternation, known as “timing”, and expressed by the relationship between  $T_i$  and the total duration of the breathing cycle ( $T_i/T_{tot}$ ) [20, 21].  $V_t/T_i$  and  $T_i/T_{tot}$  responses during incremental exercise appear to be stable and independent of fitness level [12, 17]. By studying the relationship between  $V_E$ ,  $V_t/T_i$  and  $VCO_2$  we could determine whether the central control of respiration makes ventilatory efficiency ( $V_E/VCO_2$  slope) behave similar in athletes independently of their characteristics.

Thus, the aim of this study was to evaluate ventilatory efficiency and respiratory control in a wide range of athletes and describe the influence of fitness level, age, ergometer type or BMI on these parameters. In this regard, we hypothesize that ventilatory efficiency could be an inborn characteristic with similar responses in athletes independently of fitness level, age, ergometer or BMI.

## MATERIALS AND METHODS

### Subjects

From a large amount of incremental exercise tests carried out in our laboratory, we selected those which were carried out by healthy sportspersons from different endurance sport disciplines (running, cycling, triathlon) and with different fitness levels (amateur, semi-professional). Ninety-one active, healthy males ( $30.4 \pm 10.53$  years;  $175.52 \pm 7.45$  cm;  $71.99 \pm 9.35$  kg) were analysed retrospectively for the study. Participants were classified in different groups depending on the ergometer used for testing, BMI, age and  $VO_{2max}$  (treadmill ( $n=37$ ); cycle ergometer ( $n=54$ ); BMI: 18-25 ( $n=70$ ); 25-30 ( $n=21$ ); age: 16-25 ( $n=40$ ); 25-35 ( $n=16$ ); 35-45 ( $n=23$ );  $>45$  ( $n=12$ );  $VO_{2max}$ :  $<45$   $VO_{2max}$  ( $37.8 \pm 7.4$   $ml \cdot kg^{-1} \cdot min^{-1}$ ;  $n=43$ );

$>45$   $VO_{2max}$   $51.9 \pm 5.1$   $ml \cdot kg^{-1} \cdot min^{-1}$ ; ( $n=48$ )). Fitness level classification was according to Paap and Takken [22]. Cardio-respiratory variables are shown in Table 1.

Participants were tested in our laboratory for different previous proposes. All previous studies were approved by the ethical committee of Pablo Olavide University and conformed to standards of treatment of human participants in research as outlined in the Fifth Declaration of Helsinki. Participants were informed (both in writing and orally) about all testing and training procedures and gave their written informed consent to participate prior to entering the study.

### Procedures

From the tests carried out in our laboratory we selected those performed with the same protocol on a cycle ergometer (Ergoselek 200, Ergoline, Germany) or on a treadmill (Ergorun 8, Down electronics, Germany). Each participant performed a maximum incremental exercise tests with gas analysis. During each test, oxygen uptake ( $VO_2$ ), carbon dioxide output ( $VCO_2$ ), respiratory exchange ratio (RER), ventilation ( $V_E$ ), breathing frequency ( $f_R$ ), tidal volume (VT), oxygen equivalent ( $EqVO_2$ ), carbon dioxide equivalent ( $EqCO_2$ ), driving ( $V_t/T_i$ ) and timing ( $T_i/T_{tot}$ ) were recorded every 5 seconds breath by breath with a gas analyser (MedGraphics CPX Ultima, USA). The system was calibrated prior to each test with gas mixtures of known concentration. After 4 min of warming up, participants started the test at 50 W and then the load was increased by 25 W each minute until volitional exhaustion on the cycle ergometer. On the treadmill, after 4 min of warming up the participants started the test at 7 km/h and the velocity was increased by 1 km/h each minute until volitional exhaustion. Tests were carried out under similar and controlled environmental conditions (20-25°C; 45-55% relative humidity). Achievement of maximal oxygen uptake ( $VO_{2max}$ ) was accepted when a plateau was found in the relationship between  $VO_2$  and power output or when three of the four criteria for maximal  $VO_{2max}$  were obtained [23].

### Ventilatory efficiency and breathing pattern

The ventilatory efficiency of each subject was calculated from the slope of the relationship between  $VCO_2$  and  $V_E$  during each test. To exclude the influence due to respiratory compensation for acidosis

**TABLE 1.** Maximum cardio-respiratory values during the incremental exercise test ( $n=91$ ).

	$VO_2$ ( $ml \cdot min^{-1}$ )	$VCO_2$ ( $ml \cdot min^{-1}$ )	$f_R$ ( $br \cdot min^{-1}$ )	VT (ml)	$V_E$ ( $l \cdot min^{-1}$ )	$T_i/T_{tot}$	$V_t/T_i$ ( $ml \cdot sec^{-1}$ )	PETCO <sub>2</sub> (mmHg)
Mean	3219.8	4051.9	51.2	2240.4	112.8	0.41	4823.1	43.6
SD	571.1	808.2	11.6	424.6	26.2	0.05	962.6	6.6

SD, standard deviation;  $VO_2$ , oxygen uptake;  $VCO_2$ , carbon dioxide output;  $f_R$ , breathing frequency;  $V_t$ , tidal volume;  $V_E$ , ventilation;  $T_i/T_{tot}$ , timing;  $V_t/T_i$ , driving; PETCO<sub>2</sub>, end tidal pressure of carbon dioxide.

during highly intensive exercise, the  $V_E/V_{CO_2}$  slope was determined from the beginning of the test until the second ventilatory threshold ( $VT_2$ ).  $VT_2$  was identified using the criteria of increase in both ventilatory equivalents –  $EqO_2$  and  $EqCO_2$  – and end tidal partial pressure of oxygen ( $PETO_2$ ) with no concomitant increase in end tidal partial pressure of carbon dioxide ( $PETCO_2$ ) or decrease in  $PETCO_2$  [24, 25]. The value of the slope representing the relationship between  $V_E$  and  $Vt/Ti$  during each test ( $Vt/Ti$  slope) was used to test the central component of respiration.

Statistical analysis

Data are expressed as mean  $\pm$  SD and with Cohen's d effect size (ES) for each variable. Subjects were included in different groups depend on fitness level, ergometer used for testing, BMI and age. The normal distribution of the data in each group was checked by means of the Shapiro–Wilk test. The homogeneity of variance was evaluated by Levene's test. To compare the mean values obtained for  $V_E/V_{CO_2}$  slope and  $Vt/Ti$  slope in each group the following statistical tests were carried out. Student's t-test for independent samples was used to compare fitness level groups and type of ergometer groups. The Kruskal–Wallis H-test was carried out to compare mean values between BMI groups. The one-way ANOVA test was used to compare mean values between age groups. The Bonferroni test was selected as a post hoc test. Linear regression analysis was performed for each group between  $V_E$  (dependent variable) and  $V_{CO_2}$  (independent variable) and  $Vt/Ti$  (dependent variable) with data from each subject. Effect sizes (ES) were also calculated using Cohen's d. The level of significance was set at  $P < 0.05$  for each statistical analysis. An ES of  $d < 0.2$  was considered small, 0.5 medium and  $d > 0.8$  large [26].

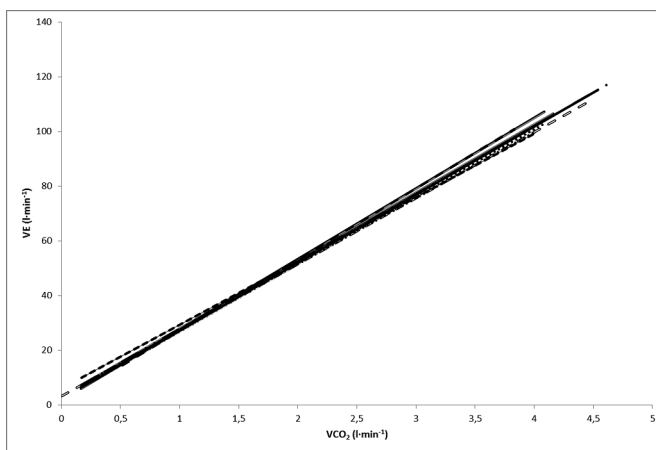


FIG. 1. Evaluation of ventilatory efficiency ( $V_E/V_{CO_2}$  slope) showing regression lines measured in each group (treadmill (n=37); cycle ergometer (n=54); BMI: 18-25 (n=70); 25-30 (n=21); age: 16-25 (n=40); 25-35 (n=16); 35-45 (n=23); >45 (n=12);  $VO_{2max}$ : <45  $VO_{2max}$  (n=43); >45  $VO_{2max}$  (n=48)). All groups showed a similar linear adjustment.

RESULTS

Data on the ventilatory efficiency and ventilatory control evaluation are shown in Table 2. The statistical analysis revealed non-significant differences ( $P > 0.05$ ) both for the  $V_E/V_{CO_2}$  slope and  $Vt/Ti$  slope for all the variables included in the analysis (ergometer, BMI, age, and fitness level). Effect size analysis showed a low ES between cycle-ergometer and treadmill testing on  $V_E/V_{CO_2}$  slope and  $Vt/Ti$  slope (0.29 and 0.09 respectively). Regarding BMI, a low-medium ES was

TABLE 2. Comparison of mean  $\pm$ SD values of the  $V_E/V_{CO_2}$  slope and  $Vt/Ti$  slope for the treadmill and cycle ergometer cardiopulmonary exercise tests, the body mass index (BMI) ranges (18-25; 25-30), age ranges (16-25; 25-35; 35-45; >45) and fitness level (<45  $VO_{2max}$ ; >45  $VO_{2max}$ ) in athletes.

	ERGOMETER				BMI ( $kg \cdot m^{-2}$ )			
	Cycle (n=37)	Treadmill (n=54)	p-value	Effect size	18-25 (n=70)	25-30 (n=21)	p-value	Effect size
$V_E/V_{CO_2}$ slope	23.6 $\pm$ 3.8	24.8 $\pm$ 4.4	0.146	0.29	24.5 $\pm$ 4.1	22.6 $\pm$ 4	0.067	0.46
$Vt/Ti$ slope	38.7 $\pm$ 6.5	39.4 $\pm$ 6.3	0.592	0.09	38.8 $\pm$ 6.3	40.4 $\pm$ 7.1	0.336	0.26

	AGE (years)				FITNESS LEVEL: $VO_{2max}$ ( $ml \cdot kg^{-1} \cdot min^{-1}$ )					
	16-25 (n=40)	25-35 (n=16)	35-45 (n=23)	>45 (n=12)	p-value	Effect size	<45 $VO_{2max}$ (n=43)	>45 $VO_{2max}$ (n=48)	p-value	Effect size
$V_E/V_{CO_2}$ slope	24.3 $\pm$ 3.8	22.9 $\pm$ 4.5	24.1 $\pm$ 4.6	25.6 $\pm$ 3.7	0.146	0.16	23.4 $\pm$ 4.2	24.8 $\pm$ 4.1	0.111	0.33
$Vt/Ti$ slope	38.8 $\pm$ 6.4	38.4 $\pm$ 6.2	40.7 $\pm$ 6.6	38.1 $\pm$ 6.7	0.416	0.15	40.5 $\pm$ 6.3	38.3 $\pm$ 6.3	0.100	0.33

\*Significantly different between groups ( $p < 0.05$ ).

§ Large effect size ( $ES \geq 0.8$ ).

**TABLE 3.** Predictive equations for the ventilatory efficiency response.

	Predictive equations					
	a	b	r <sup>2</sup>	r	Standard error	p-value
<b>Ergometer</b>						
Cycle	25.81	0.964	0.929	0.964	0.07	<0.001
Treadmill	24.11	0.913	0.834	0.913	0.106	<0.001
<b>BMI (kg·m<sup>-2</sup>)</b>						
18-25	24.70	0.948	0.899	0.948	0.064	<0.001
25-30	24.66	0.950	0.903	0.950	0.125	<0.001
<b>AGE (years)</b>						
16-25 (n=48)	24.91	0.963	0.927	0.963	0.072	<0.001
25-35 (n=28)	24.49	0.936	0.875	0.936	0.137	<0.001
35-45 (n=23)	23.28	0.890	0.793	0.890	0.177	<0.001
>45 (n=12)	26.03	0.986	0.973	0.986	0.088	<0.001
<b>FITNESS LEVEL: VO<sub>2max</sub> (ml·kg<sup>-1</sup>·min<sup>-1</sup>)</b>						
<45 VO <sub>2max</sub> (n=43)	24.12	0.945	0.893	0.945	0.094	<0.001
>45 VO <sub>2max</sub> (n=62)	24.88	0.941	0.885	0.941	0.081	<0.001

\* Level of significance ( $p < 0.05$ ).

$y = a \cdot x + b$  ( $y=V_E$  (ventilation);  $x=VCO_2$  (carbon dioxide output);  $a=V_E/VCO_2$  slope;  $b=$  y-intercept).

found between groups in  $V_E/VCO_2$  slope and  $Vt/Ti$  slope (0.46 and 0.24 respectively). No age effect was found in  $V_E/VCO_2$  slope and in  $Vt/Ti$  slope (0.16 and 0.15 respectively). Fitness level showed a low ES for differences between groups in  $V_E/VCO_2$  slope (0.33) and  $Vt/Ti$  slope (0.33). Table 3 shows the predictive equations for  $V_E/VCO_2$  slope after regression and statistical analysis. The slope of the predictive equations was similar in all cases studied (Table 3). Figure 1 shows the regression lines for each variable studied.

## DISCUSSION

To the best of our knowledge, this is the first study to evaluate the influence of ergometer type, age, BMI and fitness level on ventilatory efficiency in athletes. We hypothesized that ventilatory efficiency could behave independently of the aforementioned variables in athletes. The main finding of this study was that ventilatory efficiency is not influenced by the ergometer used for testing, the athlete's age, BMI or fitness level. These findings support the hypothesis that ventilatory efficiency could be an inborn characteristic which reacts independently of fitness level, anthropometric profile, age or the ergometer used for testing.

Ventilatory efficiency has been proposed as an effective method to detect cardiorespiratory weakness and healthy problems [6, 7, 9]. Values exceeding 34 indicate the inefficiency of the cardiorespiratory system [1, 27]. However, it is not as clear that athletes with better ventilatory efficiency are those who demonstrate high sport performance. In our study, no differences were found in  $V_E/VCO_2$

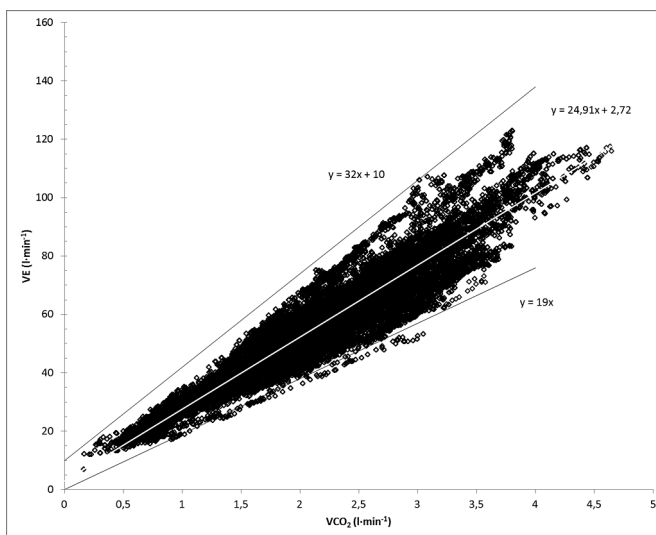
slope between athletes with a low  $VO_{2max}$  and those with a high  $VO_{2max}$  ( $23.4 \pm 4.2$  and  $24.8 \pm 4.1$ , respectively). The slope of the predictive equations was also similar in both cases (24.12 and 24.88, respectively) (Table 3) (Figure 1). Similar mean values of efficiency were found in world-class cyclists over a 3-year period ( $24.6 \pm 3.1$ ;  $23.6 \pm 2.7$ ;  $24.8 \pm 2.6$ ) [5]. Even though these cyclists were tested with a totally different protocol (50W each 4 min) and gas analyzer and they had a higher  $VO_{2max}$  ( $77.5 \pm 6.2$  ml·kg<sup>-1</sup>·min<sup>-1</sup>), they showed similar values of ventilatory efficiency to our subjects. Thus, changes in sport performance (peak power output) were not related to changes in  $V_E/VCO_2$  slope or  $VO_{2max}$  in world-class cyclists [5]. In juvenile cyclists, no relationship was found between  $VO_{2max}$  and  $V_E/VCO_2$  slope [2]. No correlation was found between  $V_E/VCO_2$  slope and  $VO_{2max}$  in sport students before and after inspiratory muscle training, either in normoxia or in hypoxia [13]. Thus, our results and the evidence reported before help us to confirm the hypothesis that  $V_E/VCO_2$  slope could not be a variable related to sport performance. In this regard, it has been suggested that if an athlete has poor cardio-respiratory efficiency (high  $V_E/VCO_2$  slope) it has no bearing on their maximal ability to use oxygen [2] or achieve high performance [5]. Therefore,  $V_E/VCO_2$  slope is not efficacious in quantifying the performance of the physiological systems which support an athlete's ability to perform at high oxygen uptakes [2].

In terms of age and BMI, controversial data about ventilatory efficiency has been reported. On the one hand, Sun and Hansen [3] carried out an evaluation of ventilatory efficiency on healthy people

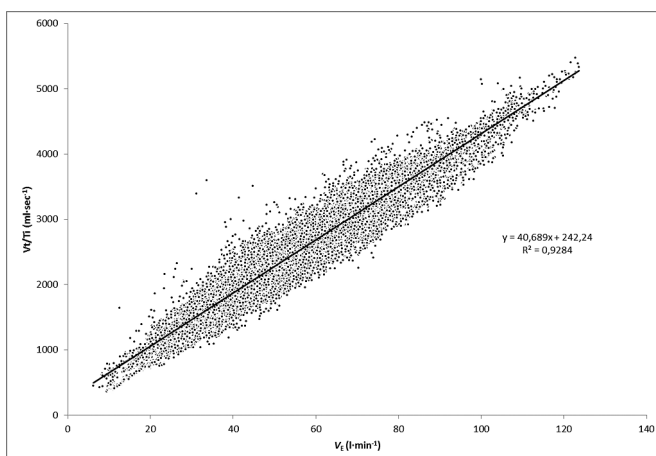
without significant difference between sexes and ages. On the other hand, ventilatory efficiency showed sex and age dependence in healthy subjects [4]. In children, ventilatory efficiency response was not affected by sex [15]. In our study, we could not compare ventilatory efficiency between sexes due to the small sample size in females. Regarding age analysis, no differences were found between age groups in  $V_E/VCO_2$  slope (Table 2). These results are in concordance with previous studies [3, 15]. Physiologic dead space ( $V_D/V_T$ ) has been proposed as a variable that could modify ventilatory efficiency in healthy subjects [3]. Maturation and age could modify the  $V_D/V_T$  [14] and as a consequence ventilatory efficiency. In our subjects, the mean values obtained in age groups were similar to values measured in children [15] (Table 2). Thus, ventilatory efficiency might be a variable not affected by age or anthropometric characteristics in healthy athletes.

With reference to type of ergometer, we did not find a difference between subjects tested on a treadmill or a cycle ergometer in ventilatory efficiency response (Table 2). We compared ventilatory efficiency data from world-class cyclists [5], who were tested with a different gas analyzer and with a different protocol (50 W/4 min), with our subjects (25 W/min). The mean values obtained were similar in both cases ( $\sim 24$ ). The same results were obtained in men but not in women, suggesting independence of test mode evaluation [16] and independence of speed used in the test from ventilatory efficiency response [28]. In the first study [16], the protocol used (4 min of walking at  $72 \text{ m}\cdot\text{min}^{-1}$  and 0% grade; at the end of minutes 4, 7 and 10, the speed was increased by  $10 \text{ m}\cdot\text{min}^{-1}$ ) was totally different to ours. In the second [28], they did not find a difference between the fast (25 W/min) and the slow protocol (five work rate increments of equal size each 4 min). But one more time, the slope values reported (24.19 and 23.23 respectively) were in concordance with our results. Similarly, Sun and Hansen [3] found no effect of laboratory site or ergometer in ventilatory efficiency evaluation, with a greater reproducibility for  $V_E/VCO_2$  slope (online data supplement). The slope of the predictive equations was similar in all cases studied (Table 3). According to these results, the type of ergometer or protocol used might not modify the ventilatory efficiency response in healthy athletes.

In addition to ventilatory efficiency analysis, we carried out an analysis of driving component of respiration ( $V_t/T_i$  slope). As it occurs with  $V_E/VCO_2$  slope, the increment in the driving impulse was similar in all our subjects and it was independent of age, fitness level, BMI or ergometer type (Table 2). In all these cases, the increment in driving impulse was close to  $\sim 40$ . This indicates that the increases in  $V_E$  during progressive exercise are associated with a proportional increase in the inspiratory driving activity without any alteration in the relationship between inspiration and expiration, even at the highest working intensities (Figure 3) [5]. Thus, the linear relationship of  $V_E$  with  $V_t/T_i$  and  $VCO_2$  suggests that the main factor conditioning the stability of ventilatory efficiency (as  $V_E/VCO_2$  slope) could be the central impulse of respiration ( $V_t/T_i$ ).



**FIG. 2.** Graph showing the linear relation between carbon dioxide output ( $VCO_2$ ) and ventilation ( $V_E$ ) with data from whole sample ( $n=91$ ). This can be used as a nomogram for assessing ventilatory efficiency in healthy athletes during exercise regardless of the ergometer type, fitness level, age or body mass index.



**FIG. 3.** Graph showing the linear relation between and ventilation ( $V_E$ ) and driving impulse ( $V_t/T_i$ ) with data from whole sample ( $n=91$ ). Central impulse of respiration responded similarly in all participants regardless of the ergometer type, fitness level, age or body mass index.

Some limitations have to be addressed. First, this study was retrospective and we could not measure body composition variables in our subjects. Further investigations taking into account body composition variables are necessary in order to better clarify whether body composition could influence ventilatory efficiency response. Lastly, we could not include females in our study due to the low sample size. New research to evaluate the influence of gender on ventilatory efficiency is necessary in order to better clarify the involvement of this variable on ventilatory efficiency response.

Based on the previous evidence reported and in our results, we propose a nomogram for assessing ventilatory efficiency ( $V_E/VCO_2$  slope) (Figure 2). This nomogram might help to carry out a better evaluation of ventilatory efficiency in athletes completing the proposal of Naranjo and Centeno [12]. In addition, it could help to easily detect cardio-respiratory problems or deficiencies in respiration control when an incremental test is carried out in athletes.

In summary, ventilatory efficiency reacted similarly in athletes independently of the fitness level, age, BMI or the ergometer used for testing. Moreover, the central control impulse of respiration was not affected by the variables studied (Figure 3). These observations

suggest that ventilatory efficiency ( $V_E/VCO_2$  slope) could be a variable fixed by the respiratory system which tends to respond similarly in athletes. Finally, ventilatory efficiency could be assessed easily during an incremental test in athletes using the nomogram proposed.

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#### Conflict of interest

No potential conflict of interest was reported by the authors.

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